Express Mail Mailing Label Number: Date of Deposit:

EV327170284US March 26, 2004

Our Case No. 8285-681

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in re Applicati	ion of:	)		
	Franczek et al.	)		
Serial No.:	Not Yet Assigned	)	Examiner:	Not Yet Assigned
Filed:	Herewith	)	Group Art Unit:	Not Yet Assigned
For:	Computer Virus Screening Methods and Systems	) )		

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to the obligation under 37 C.F.R. § 1.56 and in conformance with 37 C.F.R. §§ 1.97-1.99, Applicants hereby submit documents A1-A46 listed on the attached form PTO-1449 for consideration by the Examiner. In accordance with 37 C.F.R. § 1.98(d), copies of these references are not provided since they were previously cited by or submitted to the Office in prior application serial number 10/196,892, which is being relied upon for an earlier filing date under 35 U.S.C. § 120.

Applicants also note that the pending claims were previously rejected in an Office Action in prior application serial number 10/196,892. Applicants request that the Examiner review those rejections when examining this application.

The filing of this Information Disclosure Statement does not constitute an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37

C.F.R. § 1.56(b). Further, Applicants reserve the right to contest that any of these documents is prior art against the present application.

Dated: March 26, 2004

Respectfully submitted,

Joseph F. Hetz Reg. No. 41,070

Attorney for Applicants

BRINKS HOFER GILSON & LIONE P.O. Box 10395 Chicago, Illinois 60610 (312) 321-4719

FORM PTO-1449	SERIAL NO.	CASE NO.
	Not Yet Assigned	8285-681
LIST OF PATENTS AND PUBLICATIONS FOR	FILING DATE	GROUP ART UNIT
APPLICANT'S INFORMATION DISCLOSURE	Herewith	Not Yet Assigned
STATEMENT		
(use several sheets if necessary)	APPLICANT(S): FRANCZEK ET	AL.

#### REFERENCE DESIGNATION

- - 1

### **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	6,119,233	09/2000	Hawkins		
	A2	5,889,943	03/1999	Ji et al.		
	A3	5,960,170	09/1999	Chen et al.		
	A4	6,393,568 B1	05/2002	Ranger et al.		
	A5	6,338,141 B1	01/2002	Wells		
	A6	6,240,530 B1	05/2001	Togawa		-
	A7	6,016,546	01/2000	Kephart et al.		
	A8	5,918,008	06/1999	Togawa et al.		
	A9	5,684,875	11/1997	Ellenberger		
	A10	5,509,120	04/1996	Merkin et al.		
	A11	4,975,950	12/1990	Lentz		
	A12	5,319,776	06/07/94	HILE ET AL.		
	A13	5,359,659	10/25/94	ROSENTHAL		
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	A15	5,485,575	01/16/96	CHESS ET AL.		
	A16	5,511,163	04/23/96	LARCHE ET AL.		
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	A18	5,623,600	04/22/97	Ji ET AL.		
	A19	5,696,822	12/09/97	NACHENBERG		
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	A21	5,987,610	11/99	FRANCZEK ET AL.		
	A22	5,999,526	12/99	GARLAND ET AL.		
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	A24	6,047,273	04/00	VAGHI		
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	A27	6,088,803 A	07/2000	Tso et al.		

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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STATEMENT		_
(use several sheets if necessary)	APPLICANT(S): FRANCZEK ET	AL.

### **FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
				i	

EXAMINER		м				
INITIAL		OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)				
	A28	lan Leitch, "Computer viruses: a problem of management", Engineering Management Journal,				
		pp. 21-24, February 1994				
	A29	Gerald Tesauro, "Neural networks for computer virus recognition", IEEE, pp. 5-6, August 1996				
	A30	Robert Niemeyer, "Using Web Technologies in Two MLS Environment: A Security Analysis", IEEE, pp 205-214 1997				
	A31	"A Generic Virus Scanner in C++," ftp.cerias.purdue.edu/pub/papers/sandeep-kumar/kumar-spaf-scanner.pdf, September 17, 1992, 21 pages.				
	A32	"DoD Products – Trusted Gateway System," <a href="http://www.tcs-sec.com/products/trusted-gateway-system.html">http://www.tcs-sec.com/products/trusted-gateway-system.html</a> , 2001, 4 pages.				
	A33	"Intrusion Detection Systems," Panagiotis Astithas, <a href="http://www.daemonnews.org/199905/ids.html">http://www.daemonnews.org/199905/ids.html</a> , 1999, 6 pages.				
	A34	"Annual Technical Report for Ada Embedded Systems Testbed Project," Weiderman et al., December 1987, 58 pages.				
	A35	"Multics Features," http://www.multicians.org/features.html, January 12, 2003, 16 pages.				
	A36	"Malicious Data and Computer Security," W. Olin Sibert, InterTrust Technologies Corporation, <a href="https://home.earthlink.net/~wolfboy/ARCHIVE/GenSecure/maldata.htm">home.earthlink.net/~wolfboy/ARCHIVE/GenSecure/maldata.htm</a> , printed February 19, 2003, 10 pages.				
	A37	"2.4 The TUNIX Firewall: extensions and third-party components," www.tunix.nl/fire/wp-eng/chapter2.4.html, copyright 1997-99, 2000, printed February 19, 2003, 9 pages.				
	A38	"SBC Donates \$7.3M Patent to UT," Austin Business Journal, http://austin.bizjournal.com/austin/stories/2003/02/03/daily6.html, February 3, 2003, 1 page.				
	A39	Third Party Email, February 18, 2003, 6 pages.				
	A40	Third Party Email, March 2, 2003, 9 pages.				
	A41	Third Party Email, March 14, 2003, 5 pages.				
	A42	Third Party Email, March 18, 2003, 3 pages.				
	A43	Third Party Email, March 20, 2003, 13 pages.				
	A44	Third Party Email, May 12, 2003, 13 pages.				
	A45	IPO Daily News, May 22, 2003, 1 page.				
	A46	"Patent Donations Are Criticized," New York Times, March 17, 2003, 2 pages.				

EXAMINER	DATE CONSIDERED	
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